

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Pre. a  
J. Steptoe  
4-19

In re the Application of:

Shunji NAKAMURA

Serial Number: 10/003,304



Group Art Unit: 2811

Filed: December 6, 2001

Examiner: Not Yet Assigned

For: SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR  
FABRICATING THE SAME

PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

January 17, 2002

Sir:

Prior to examination on the merits, please amend the above-identified application as follows:

IN THE SPECIFICATION:

Amend the specification as follows:

**Please replace the paragraph beginning at page 17, line 18, with the following rewritten paragraph:**

Q1

FIGs. 16A and 16B are sectional views of the semiconductor memory device according to the third embodiment, which explain the method (Part 2).